

Local areas



WORKFORCE DEVELOPMENT AREAS

SEC. 106.

- » Region identification
 - > Before the second full program year after the date of enactment
 - > States must identify regions after consultation with
 - The local boards
 - Chief elected officials in the local areas a
 - Consistent with this section
- » Types of regions
 - > Regions comprised of 1 local area
 - > Regions comprised of 2 or more local areas that are aligned they are referred to as planning regions
 - > Interstate areas contained within 2 or more states



LOCAL AREAS

- » For a State to receive a WIOA workforce allotment
- » The Governor must designate local workforce development areas within the State
 - > Through consultation with the State board
 - > After consultation with chief elected officials and local boards
 - > after consideration of comments received through the public comment process
- » Remember states must designate existing areas
 - > Local elected officials must request designation
 - > What is an area does not request designation?



Designation Considerations

- » The Governor shall designate local areas
 - > Except for those local areas described in paragraphs (2) and (3)
 - > Based on the extent
 - They are consistent with labor market areas
 - They are consistent with regional economic development areas
 - Have available the Federal and non-Federal resources need to effectively administer WIOA activities
 - Have appropriate education and training providers
 - Institutions of higher education
 - Area career and technical education schools



INITIAL DESIGNATION

Paragraphs 2 and 3

- » During the first 2 full program years following the date of enactment of this Act
- » The Governor shall
 - > Approve a request for initial designation as a local area
 - > From any area that was designated as a local area
 - > Under the Workforce Investment Act of 1998
 - > For the 2-year period prior to the date of enactment of WIOA
 - > Performed successfully and
 - > Sustained fiscal integrity.



SUBSEQUENT DESIGNATION

- » After the 2 year initial designation
- » Governors must approve requests for subsequent designation from any local area that
 - > Performed successfully
 - > Sustained fiscal integrity
 - > In the case of a local area in a planning region, met the requirements for regional planning



PERFORMED SUCCESSFULLY

- » Means the local area met or exceeded the adjusted levels of performance for
 - > Primary performance measures
 - > Performance measures for WIA in effect immediately prior to passage of WIOA
 - > For 2 consecutive years for which data is available preceding the determination of performance under this section



SUSTAINED FISCAL INTEGRITY

- » There has not been a formal determination for the 2 consecutive years preceding WIOA passage that the local grant recipient or administrative entity
 - > Mis-expended WIA program funds
 - > Due to willful disregard of the requirements
 - > Gross negligence, or
 - > Failure to comply with accepted standards of administration.



DESIGNATION APPEALS

- » A unit or units of general local government that requests initial or subsequent designation is denied
 - > They were local areas under WIA or
 - > Were initially designated and met requirements for re-designation
- » May appeal to the State board under an appeal process established in the State plan.
- » If they lose they can appeal to Secretary of Labor
- » The Secretary of Labor will consider whether
 - > They received a due process hearing, or
 - > Whether the area met the requirements for initial or subsequent designation
- » The secretary may require that the area be designated



REDESIGNATION ASSISTANCE

- » If all of the local areas in a planning region, request assistance
- » The State shall provide funds to assist the local areas in carrying out activities
- » To facilitate the re-designation of the local areas to a single local area.



REGIONAL COORDINATION

» REGIONAL PLANNING

- > The local boards and chief elected officials in each planning region must prepare a regional plan that includes:
 - regional service strategies
 - use of cooperative service delivery agreements
 - development and implementation of sector initiatives for in-demand industry sectors for the region
 - collection and analysis of regional labor market data
 - establishment of administrative cost arrangements, including the pooling of funds for administrative costs, as appropriate for the region



SINGLE STATE LOCAL AREAS

» The Governor of any State

- > That was a single State local area
- > Under the Workforce Investment Act of 1998
- > In effect on July 1, 2013
- > May designate the State as a single State local area under WIOA
- > Shall identify the State as a local area in the State plan



Regional Planning Elements

- » Coordination of transportation and support services
- » Coordination of services with regional economic development services and providers
- » Agreement on the negotiation of performance measures



REGIONAL PLANS

- » After consultation with local boards and chief elected officials for the planning regions,
- » The governor
 - > shall require the local boards in a planning region to prepare, submit, and obtain approval of a single regional plan
 - > The plan must incorporate local plans for each of the local areas in the planning region.
- » The State shall provide technical assistance and labor market data, as requested by local areas, to assist with regional planning and subsequent service delivery efforts.



Single State Areas

- » If a State is designated as a local area pursuant to this subsection, the local plan must be submitted for approval as part of the State plan.
- » The State board will serve as the local board
- » But the State will not be required to meet local performance measures



LOCAL WORKFORCE DEVELOPMENT BOARDS.

- » **There must be a local board for each local area**
 - > Certified by the Governor
 - > To carry out the functions described in subsection (d) (and any functions

- » **Membership**
 - > The Governor, in partnership with the State board, shall establish criteria for use by chief local elected officials in appointing the members of the local boards



Composition - Private Sector

- » Majority private sector from the local area who
 - > Owners of businesses,
 - > Chief executives
 - > Chief operating officers of businesses,
 - > Other business executives or employers with optimum policymaking or hiring authority
 - > Organizations that represent businesses, including small businesses & businesses that provide employment opportunities which include high-quality, work-relevant training and development in in-demand industry sectors or oc8
- » Business members must be nominated by local business organizations and business trade associations
- » The Board Chair must be from this category



Composition – 20%

- » Must include representatives of labor organizations where employees in the local area are represented by labor organizations
 - > Must be nominated by local labor federations
 - > In local areas with no organized labor organizations represent employees it shall be a member of a labor organization or the training director, from a joint labor management apprenticeship program, or
 - > If there is no joint program exists in the area a representative of an apprenticeship program in the area, if such a program exists;
- » May include representatives of
 - > Community-based organizations serving individuals with barriers to employment
 - > Organizations that serve veterans
 - > Organizations serving individuals with disabilities;
 - > Organizations serving eligible youth



Other Members

- » Representatives of entities administering education and training activities in the local area
 - > A representative of eligible providers administering adult education and literacy activities under title II
 - > A representative of institutions of higher education - community colleges
 - > May include representatives of local educational agencies, and of community-based organizations with experience serving education or training needs of individuals with barriers to employment;



SPECIAL RULE

- » If there are multiple eligible providers in the local area administering adult education and literacy activities under title II, or
- » Multiple institutions of higher education serving the local area
- » Members shall be appointed from among individuals nominated by local providers representing the providers or institutions



Other Representatives

- » Representatives of government, economic and community development entities – which must include a representative of
 - > Economic and community development
 - > The state employment service under the Wagner-Peyser
 - > Programs carried out under title I of the Rehabilitation Act
 - > May include representatives of
 - Entities administering transportation, housing, and public assistance programs in the local area
 - Philanthropic organizations serving the local area



Optional

- » Local board may include other individuals as the chief elected official in the local area determine to be appropriate.



Characteristics of Board Members

- » Board members representing
 - > organizations, agencies,
 - > or other entities
- » Need to have optimum policymaking authority within the organizations, agencies, or entities.
- » Board members must represent diverse geographic areas within the local area.



Standing Committees

- » The local board may designate and direct activities of standing committees to
 - > Provide information
 - > To assist the local board in carrying out activities
- » Standing committees
 - > Shall be chaired by a local board member
 - > May include other members of the local board
 - > Shall include individuals appointed by the local board who are not members of the local board and who the local board determines have appropriate experience and expertise



One-stop Committee

- » Assist with operational and other issues relating to the delivery system
- » Membership
 - > Representatives of the one-stop partners



Youth Committee

- » To assist with planning, operational, and other issues relating to youth, services
- » Membership
 - > Shall include community-based organizations (CBO) with a demonstrated record of success in serving eligible youth.
 - > It can be the WIA Youth Council so long as it includes a CBO
 - > Not clear if there is a requirement for more than one CBO



Committee on Disabilities

- » To assist with operational and other issues relating to the provision of services to individuals with disabilities
 - > Issues relating to compliance with section 188
 - > Applicable provisions of the Americans with Disabilities Act of 1990
 - > Providing program and physical access to one-stop services
 - > Training for staff on providing supports, accommodations , and finding employment for individuals with disabilities.



APPOINTMENT AND CERTIFICATION OF BOARD

- » The chief elected official in a local area is authorized to appoint the members of the local board
- » Appointments must be in accordance with the State criteria



Areas of Multi Units of Government

- » Elected officials in local area that includes more than 1 unit of general local government requires the chief elected officials of such units execute an agreement that specifies the respective roles of the individual chief elected officials
 - > In the appointment of members of the local board from the
 - > In carrying out any other responsibilities assigned to such officials under the workforce title of the Act
- » Where elected officials cant agree
 - > The Governor appoints the members of the local board from individuals so nominated or recommended



CONCENTRATED EMPLOYMENT PROGRAMS

- » An area that was designated as such under WIA
- » The governing body of the concentrated employment program shall act in consultation with the chief elected official in the local area to appoint members of the local board



Certification of Local Boards

» The Governor shall, certify 1 local board for each local area in the State every 2 years

» CRITERIA

> That stated for initial and subsequent designation

> But for second or subsequent certification

- The extent to which the local board has met performance accountability measures and
- Sustained fiscal integrity



FAILURE TO ACHIEVE CERTIFICATION

» Result:

- > Appointment and certification of a new local board



DECERTIFICATION

- » The Governor has the authority to decertify a local board at any time after providing notice and an opportunity for comment for
 - > Fraud
 - > Abuse
 - > Failure to carry out functions



THE LOCAL PLAN

- » Developed by the local board, in partnership with the chief elected official
- » If the local area is part of a planning region that includes other local areas
 - > The local board shall collaborate with the other local boards and chief elected officials in the preparation and submission of a regional plan



WORKFORCE RESEARCH AND REGIONAL LABOR MARKET ANALYSIS

- » To assist in local plan development local boards shall conduct analyses of
 - > The economic conditions in the region
 - > The needed knowledge and skills for the region
 - > The workforce in the region,
 - > Workforce development activities including education and training in the region
 - > The information should be regularly updated
 - > Research, data collection, and analysis of workforce needs
- » To assist with the local plan boards should assist the Governor
 - > To developing statewide workforce and labor market information
 - > To collect, analyze, and use workforce and labor market information
- » Local boards should receive input from a wide array of stakeholders, as they deem necessary carry out its functions



CONVENING, BROKERING, LEVERAGING

- » Local boards shall convene local workforce development system stakeholders
 - > To assist in the development of the local plan
 - > To identify non-Federal expertise and resources to leverage support for workforce development activities
 - > The local board, including standing committees, may engage stakeholders in carrying out the board functions



EMPLOYER ENGAGEMENT

- » Local boards shall lead efforts to engage with employers in the region
 - > To promote business representation on boards
 - > To develop effective linkages including the use of intermediaries with employers to support employer use of the local workforce development system and to support local workforce investment activities



TO ENGAGE EMPLOYERS

- » Local boards
 - > Should support economic growth in the region
 - > Enhance communication, coordination, and collaboration among
 - Employers
 - economic development
 - service providers; and



ENGAGING EMPLOYERS

- » **Develop and implement**
 - > **Proven or promising strategies**
 - > **To meeting the employment and skill needs**
 - > **Of workers and employers**
 - **Ex: establish industry and sector partnerships**
 - **That provide the skilled workforce needed by employers and**
 - **Expand employment and career advancement opportunities for workforce development**



CAREER PATHWAYS DEVELOPMENT

- » **Local boards together with secondary and postsecondary education shall develop and implement career pathways by aligning**
 - > Employment**
 - > Training**
 - > Education**
 - > Supportive services**



PROVEN AND PROMISING PRACTICES

- » **Local board shall**
 - > **identify and promote strategies for the one-stop delivery system**
 - > **identify and disseminate information on promising practices in other local areas**
 - > **Use technology to make the one stop accessible by facilitating**
 - **Connections between intake and case management information systems of the one-stop partners**
 - **Access to services especially in remote areas**



PROVEN AND PROMISING PRACTICES

- > **identify strategies to meet the need of those with barriers**
 - **Augment traditional service delivery**
 - **Increase access to services and programs of one-stop partners**
 - **EX: Improve digital literacy skill**
 - **By leveraging resources and capacity**



PROGRAM OVERSIGHT

- » **The local board, in partnership with the chief elected official shall**
 - > **Conduct oversight for local youth workforce activities and , local employment and training activities**
 - > **The one-stop delivery system**
 - > **Ensure the appropriate use and management of the funds**
 - > **Ensure the appropriate use, management, and investment of funds to maximize performance outcomes**



NEGOTIATE LOCAL PERFORMANCE

- » **The local board,**
- » **The chief elected official, and**
- » **The Governor**
- » **Shall negotiate and reach agreement on local performance accountability measures**



Selection of Providers

SELECTION OF ONE-STOP OPERATORS

- » **The local board**
 - > **With the agreement of the chief elected official shall**
 - **Designate or**
 - **Certify**
- » **One-stop operators and**
- » **May terminate for cause the eligibility of such operators**



SELECTION OF YOUTH PROVIDERS

- » **The local board shall**
 - > **Identify eligible youth providers**
 - > **Award contracts on a competitive basis**
 - **Based on the recommendations of the youth standing committee**
 - **If the committee is established for the local area**
 - > **May terminate the eligibility of the providers for cause**



IDENTIFICATION OF ELIGIBLE PROVIDERS OF SERVICES

- » The local board shall identify eligible providers of training services
- » If the one-stop operator does not provide career services the local board shall identify eligible providers of career services by awarding contracts



CONSUMER CHOICE REQUIREMENTS

- » Local board shall work with the State to ensure there are
 - > sufficient numbers and
 - > types of providers of career services and training services including
 - providers with expertise serving those with disabilities
 - providers assisting adults in need of adult education and literacy activities
- » Local boards shall assure consumer choice,
- » Local boards shall providing opportunities that lead to competitive integrated employment for individuals with disabilities.



COORDINATION WITH EDUCATION PROVIDERS

- » Local boards shall coordinate activities with education and training including providers of
 - > Workforce investment activities
 - > Adult education and literacy activities
 - > Career and technical education
 - > Local agencies administering plans under title i of the rehabilitation act of 1973



Coordination

- » **Reviewing applications for adult education and literacy providers to determine consistency with the local plan**
- » **Making recommendations for alignment with the plan**
- » **Replicating and implementing cooperative agreements in accordance the Rehabilitation Act of 1973**
 - > **To enhance services to individuals with disabilities**
 - > **Cross train of staff**
 - > **Technical assistance**
 - > **Use and sharing of information,**
 - > **Cooperative efforts with employers**
 - > **Other efforts at cooperation, collaboration, and coordination**



BUDGET AND ADMINISTRATION

- » The local board shall develop a budget subject to the approval of the chief elected official.
- » The chief elected official in a local area shall serve as the local grant recipient and shall be liable for any misuse of, the grant funds
- » chief elected official reaches can agree with the Governor for the Governor to act as the local grant recipient and bear such liability.
- » The chief elected official may designate an entity to serve as a local grant sub-recipient or as a local fiscal agent.
 - > Such designation doesn't relieve the chief elected official from liability
- » The local grant recipient shall disburse the grant funds for workforce investment activities immediately on receiving such direction from the local board.
- » The local board may solicit and accept grants and donations from sources other than Federal funds



Budget and Administration

- » **Local boards may incorporate, and may operate as 501(c)(3) organizations**
- » **The local board must annually assess the one stop physical and program accessibility per the ADA**
- » **Local board must make public, using electronic means and open meetings, information regarding their activities**
 - > **Information regarding the local plan prior to submission of the plan**
 - > **Information regarding membership**
 - > **the designation and certification of one-stop operators**
 - > **the award of grants or contracts to youth providers**
 - > **on request, minutes of formal meetings of the local board.**



Staff

» Local boards

- > Can hire a director and other staff to assist in carrying out board functions
- > Shall establish and apply a set of objective qualifications for the position of director

» The director and staff shall be subject to the limitations on the payment of salaries and bonuses

Ref. 194(15)(g)



Limitation of Training Services

- » **Local boards may NOT provide training services except**
 - > **If the Governor approves a request for waiver from the local board which shows**
 - **That there are not enough training providers to meet local demand**
 - **The board meets the requirements for an eligible training provider**
 - **The board can demonstrate the training prepares participants for an in-demand industry sector or occupation**
 - **There is a public comment period of 30 days**
 - **Informs local training providers**
 - **Includes all comments in the request**
- » **Waivers may only be granted for the duration of the plan**
 - > **The waiver may be renewed for additional periods under subsequent local plans, not to exceed the durations of such subsequent plans**
- » **The governor may revoke the waiver if**
 - > **The Governor determines it is no longer needed or**
 - > **The local board has engaged in a pattern of inappropriate referrals to its own training**



Local Boards as One-Stop Operators

» Local boards may

- > provide career services through the one-stop or
- > be designated or certified as a one-stop operator only
 - With the agreement of the chief elected official and
 - The Governor.



CONFLICT OF INTEREST

- » A member of a local board, or a standing committee, may not
 - > vote on a matter under consideration by the local board
 - regarding the provision of services by such member (or by an entity that such member represents); or
 - that would provide direct financial benefit to such member or the immediate family of such member; or
 - > engage in any other activity determined by the Governor to constitute a conflict of interest as specified in the State plan.



THE LOCAL PLAN

- » **Local boards together with chief local elected officials (CLEO)**
 - > **Must develop and submit a 4-year local plan**
 - > **Consistent with the State plan**
- » **Local areas part of a planning region must comply with regional planning requirements (Ref. § 106)**
- » **Similar to the state after 2 years local boards/CLEO must review the plan**
 - > **submit modifications to reflect changes in labor market, economic conditions or in other factors affecting implementation of the local plan**



Plan Contents

- » **A description of the strategic planning elements consisting of**
 - > **An analysis of the regional economic conditions including**
 - **Existing and emerging in-demand industry sectors and occupations**
 - **Employment needs of employers in those industry sectors and occupations**
 - > **An analysis of the knowledge and skills needed to meet the employment needs of the employers**



Plan Contents

- » **An analysis of the workforce in th region**
 - > **Current labor force employment and unemployment data**
 - > **Information on labor market trends**
 - > **Educational and skill levels of the workforce**
- » **An analysis of the workforce development activities, education and training the region**
 - > **Strengths and weaknesses of such services**
 - > **The capacity to provide such services**



Plan Contents

- » **The local board's strategic vision and goals for preparing an educated and skilled workforce - includes youth**
 - > Goals relating to the performance accountability measures based on primary indicators of performance
 - > A strategy to work with the entities that carry out the core programs to align resources
 - > A description of the workforce system that identifies the programs included in system
 - > How the local board will work with the entities carrying out core
 - > How the board will work with adult literacy programs



The Plan

- » **How the local board and core program partners will**
 - > **Expand access to employment, training, education, and support for eligible individuals**
 - > **facilitate development of career pathways and co-enrollment, in core programs**



The Plan

- » **Strategies and services to be used in the local area**
 - > **To facilitate engagement of employers & small employers and employers**
 - > **To support a workforce system that meets the needs of businesses**
 - > **Better coordinate workforce programs and economic development**
 - > **Strengthen linkages between the one-stop and unemployment insurance through**
 - **Incumbent worker training programs**
 - **On-the-job training programs**
 - **Customized training programs**
 - **Industry and sector strategies**
 - **Career pathways initiatives**
 - **Utilization of effective business intermediaries**
 - **Other business services and strategies to meet employer needs**



The Plan

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 - **Customized training programs**
 - **Industry and sector strategies**
 - **Career pathways initiatives**
 - **Utilization of effective business intermediaries**
 - **Other business services and strategies to meet employer needs**



Local Plan

- » **How the local board will**
 - > **Coordinate with economic development and promote entrepreneurial skills training and microenterprise services**
 - > **Describe the one-stop delivery system**
 - > **Ensure the continuous improvement of service providers**
 - > **Facilitate access to one-stop service**
 - **In remote areas**
 - **Through the use of technology**
 - **Assure one stop and provider compliance with ADA & § 188**



The Plan

» A description of

- > The type and availability of adult and dislocated worker employment and training activities
- > The roles and resource contributions of the one-stop partners
- > Coordination with statewide rapid response
- > The type and availability of youth workforce providers
- > Successful strategies
- > How education and workforce activities will be coordinated
- > How workforce activities will be coordinated with transportation, and other support services



The Plan

- » **Plans and strategies for**
 - > **Coordinating the employment service with the one-stop**
 - > **Coordinating with adult education and literacy activities**
 - > **For cooperative agreements with Vocational Rehabilitation programs to enhance the provision of services to individuals with disabilities**



The Plan

- » Identification of the entity responsible for the disbursement of grant funds as determined by the chief elected official
- » The competitive process used to award the sub-grants and contracts
- » Local levels of performance negotiated with the governor and chief elected official
 - > To measure the performance of the local area
 - > To measuring the performance of the fiscal agent , providers , and the one-stop system



The Plan

- » **The actions board will take to become or remain a high-performing board**
- » **How training services will be provided**
- » **Whether contracts for training will be used**
- » **How training contracts will be coordinated with individual training accounts**
- » **How informed customer choice in selection of training will be assured**
- » **The process for public comment prior to submission of the plan**



The Plan

- » **How one-stop centers are**
 - > **Implementing and transitioning to integrated, technology-enabled intake and case management system for WIOA and partner programs**
- » **Local areas can use existing analysis in drafting their plans**
- » **Prior to submission of the plan local boards shall**
 - > **Make copies available to the public through electronic, public hearings and local news media**
 - > **Allow members of the public to submit comments**



The Plan

- » **Include the comments with submission of the plan**
- » **The Plan and Modifications to the local plan will be considered approved 90-days from the day the Governor receives the plan unless the Governor makes a written determination during the 90-day period that**
 - > There deficiencies in activities as determined by an audits**
 - > The local area has not made acceptable progress in implementing corrective measures to address deficiencies**
 - > The plan does not comply with the Act**
 - > The plan does not align with the State plan**



Performance

- » Performance applies to the Core Programs
 - > WIOA Adult Dislocated Worker and Youth
 - > Adult education and literacy activities
 - > Wagner- Peyser Act - employment services
 - > Vocational Rehabilitation



Performance Measures - Core Adult and DW

- » **PLACEMENT:** The % of participants in unsubsidized employment in the **second quarter** after exit from the program
- » **RETENTION:** The % of participants in unsubsidized employment during the **fourth quarter** after exit from the program
- » **WAGE:** The median earnings of participants in unsubsidized employment **the second quarter** after exit
- » The % of participants who obtain a postsecondary credential, or a HS school diploma or GED during participation or within 1 year after exit who **ALSO** are placed or go into post secondary training
- » The % of participants in an education or training program leading to a postsecondary credential or employment and who are achieving measurable skill gains toward such a credential or employment; and
- » The indicators of effectiveness in serving employers developed by the Secretaries of Labor and Education



State Youth Performance

- » PLACEMENT: the % of participants in education, training or unsubsidized employment, during the second quarter after exit
- » RETENTION: the % of participants in education, training or in unsubsidized employment, the fourth quarter after exit; and
- » WAGE: The median earnings of participants in unsubsidized employment the second quarter after exit
- » The % of participants who obtain a postsecondary credential, or a HS school diploma or GED during participation or within 1 year after exit who ALSO are placed or go into post secondary training
- » The % of participants in an education or training program leading to a postsecondary credential or employment and who are achieving measurable skill gains toward such a credential or employment; and
- » The indicators of effectiveness in serving employers developed by the Secretaries of Labor and Education



State Performance Years 1 and 2

- » The State shall reach agreement with the Secretary of Labor and Education on levels of performance for each indicator
- » The levels agreed to shall be considered to be the State adjusted levels of performance for the State for program years 1 and 2
- » They shall be incorporated into the State plan prior to the approval of such plan.



State Performance Years 3 and 4

- » The State and Secretaries of Labor, and Education, shall agree on levels of performance taking into account the factors below:
 - > How state compare to other states
 - > Using a statistical model established by the secretaries based on
 - Differences in economic conditions and unemployment rates
 - The characteristics of participants in programs
 - > The extent to which the levels promote continuous improvement by the state and ensure optimal return on the investment of federal funds;
 - > Take into account the extent to which the levels assist the state in meeting the goals set for the CORE programs by the US DOL and US DED



Revisions

- » The Secretary of Labor and Education in accordance with a statistical model they developed can revise the State performance to reflect
 - > actual economic conditions
 - > characteristics of participants



Local Performance

- » The same measures as state performance
- » The level shall be negotiated by the governor, local board and electeds
- » Adjustments
 - > Expected economic conditions
 - > Expected participant characteristics
 - > Using the statistical adjustment model developed by the feds
 - > Actual economic conditions
 - > Actual characteristics of the populations served



State Performance Report Requirements

- » The levels of performance achieved for
 - > Each measure for each core program
 - > Each measure for each core program with respect to individuals with barriers to employment by sub-population, and by race, ethnicity, sex, and age;
- » The total # of participants served by each Core program
- » The # of participants who received career and training services, during the most recent and the preceding program years, and the amount of funds spent on each type of service;
- » The # of participants who exited from career and training services, during the most recent program year and the preceding program years



State Required Performance Reports

- » The average cost per participant who received career and training services, respectively, during the most recent program year and the 3 preceding program years;
- » The % of participants who received training services and obtained training related jobs
- » The # of participants with barriers to employment served by each core programs by each subpopulation
- » The # of participants enrolled in more than 1 core programs
- » The % of the state's annual allotment spent on administrative costs;
- » Where local areas are implementing pay-for-performance contract strategies
 - > Service providers performance as compared with contract required performance
 - > An evaluation of the design of the programs and performance
 - > Where possible, employers and participant satisfaction
- » Other information that comparisons states to other states



LOCAL AREA PERFORMANCE REPORTS

- » The same information required of States for each core program
- » The amount spent by the local area on administrative costs;
- » other information that allows for comparison of local areas with other local areas



ELIGIBLE TRAINING PROVIDERS PERFORMANCE REPORTS

- » With respect to each program of study]
 - > The levels of performance achieved with respect to the State of local measures
 - > The total number of exiters from programs of study
 - > The total # of participants who received training by funding, by the type of entity providing the training, during the most recent program year and the 3 preceding program years;
 - > The average cost per participant for those who received training by the type of entity that provided the training, during the most recent program year and the 3 preceding program years; and
 - > The # of individuals with barriers to employment served by each funding stream and by each subpopulation and by race, ethnicity, sex, and age.



State Sanctions for Failing Performance

- » Year 1 – technical assistance from the Secretary of Labor and Education including assistance developing a performance improvement plan.
- » Year 2 (except in exceptional circumstances)
 - > If the state fails to submit a report there will be 5% reduction in funds
 - > Until the Secretary of Labor or Education, as determines that the State meets adjusted levels of performance and has submitted reports for the appropriate program years.



Local Area Failure to Meet Performance

- » Year 1 and 2 - technical assistance
- » Year 3 the Governor must take corrective action
 - > Development of a reorganization plan
 - The appointment and certification of a new local board
 - Prohibit the use of providers and one-stop partners identified as poor performers
 - Such other actions as the governor determines appropriate.



Local Area Appeal

- » Within 30 days of receiving notice of a reorganization plan the local board and chief elected official may appeal. The Governor has 30 days to make a decision
- » Within 30 days of the Governor's decision the local board and chief elected official may appeal the decision to the Secretary of Labor who shall make a final decision in 30 days
- » The governor's decision will be effective when the Governor issues the decision unless the Secretary of Labor rescinds or revises the decision

